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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/756,800	01/13/2004	Trevor Montgomery	077077-9174-00	1529	
23409	7590 04/14/2006		EXAM	EXAMINER	
MICHAEL BEST & FRIEDRICH, LLP			FERGUSON, MARISSA L		
	CONSIN AVENUE KEE, WI 53202		ART UNIT	PAPER NUMBER	
	,		2854		
			DATE MAILED: 04/14/200	DATE MAILED: 04/14/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)				
	10/756,800	MONTGOMERY, TREVOR				
Office Action Summary	Examiner	Art Unit				
	Marissa L. Ferguson-Samreth	2854				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DATE - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period was realized to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 17 Ja	nuary 2006.					
· _ · · · _ · · · · · · · · · · · · · ·						
3) Since this application is in condition for allowar	nce except for formal matters, pro	secution as to the ments is				
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	i3 O.G. 213.				
Disposition of Claims						
4) Claim(s) <u>16-24,27-31 and 47-63</u> is/are pending	in the application.					
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) <u>47-63</u> is/are allowed.						
6)⊠ Claim(s) <u>16-24 and 27-31</u> is/are rejected.						
7) Claim(s) is/are objected to.	•					
8) Claim(s) are subject to restriction and/o	r election requirement.					
Application Papers						
9) The specification is objected to by the Examine	r.	·				
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) ☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.				
Priority under 35 U.S.C. § 119	•	•				
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a)	-(d) or (f).				
1. Certified copies of the priority documents	s have been received.					
2. Certified copies of the priority documents	• •					
3. Copies of the certified copies of the prior	•	d in this National Stage				
application from the International Bureau	* * * * * * * * * * * * * * * * * * * *	.d				
* See the attached detailed Office action for a list	or the certified copies not receive	<b>u.</b> .				
		•				
Attachment(s)						
1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da					
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	6) Other:	atent Application (PTO-152)				

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#### **DETAILED ACTION**

### Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 16,17, 24, 29, 30 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lewis (GB 2,351,558) in view of Osawa (JP 06191019).

Regarding claims 16 and 24, Lewis teaches an optical sensor (516), a first optical mask (518) spaced from the optical sensor, the first optical mask having an aperture therethrough (Figures 1 and 2), a second optical mask (second mask is located adjacent to first mask 518 in figure 1) with an aperture in series with the first mask, the apertures of the first and second masks together defining the viewing footprint (514) of the optical sensor and a light source for illuminating the substrate (504). However, he does not explicitly disclose wherein the optical sensor generates a signal that varies with time as the register mark passes through the viewing footprint.

Osawa teaches a register mark detector that emits uv rays onto a web (Constitution) and shows an image varying with time as shown in Figure 3. It would have been obvious at the time the invention was made to a person having ordinary skill in the art to modify the invention as taught by Lewis to include a register mark detector

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varying with time as taught by Osawa, since Osawa uses detects the mark on the web in order to prevent color deviation.

Regarding claim 17, Lewis teaches wherein the second mask is between the first mask and the sensor, and wherein the second mask is closer to the sensor than to the first mask (second mask is located next to and behind first mask 518 in figure 1 and closer to detector 557).

Regarding claim 29, Lewis teaches wherein the first optical mask is positioned parallel to the web surface (Figure 1).

Regarding claim 30, Lewis teaches the claimed invention including a mask located a distance from the web. However, Lewis does not explicitly disclose wherein the first optical mask is spaced 2-5 mm range. However, it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. *In re Aller*, 105 USPQ 233. It would have been obvious to locate the mask and light sources the claimed distance from the web, since such a modification would result in proper lighting thereby providing a clear and concise footprint on the web.

Regarding claim 31, Lewis teaches at least one LED illuminating a viewed footprint of the web surface (514, 406a,408b).

2. Claims 18-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lewis (GB 2,351,558) in view of Osawa (JP 06191019) as applied to claims 16 and 17 above, further in view of Suda et al. (4,859,842).

Regarding claims 18-20, Lewis and Osawa both teach the invention claimed with the exception of the spacing between the first mask and the second mask is of the order of ten times greater than the spacing of the first mask from the surface and wherein the ratio between the area of the aperture of the second mask and the area of the aperture of the first mask is substantially the same as the ratio of the spacing of the first and second masks relative to the spacing of the first mask from the surface and the area of the aperture of the second mask is greater than that of the first mask. Suda et al. teaches the same pattern of apertures in the first mask as compared with the second mask however the area of mask one is larger (Figure 1), he teaches spacings between the first (101) and second masks (105) and with respect to the area ratios, he does not explicitly disclose the ratio or the exact claimed order of ten times greater between the two masks. It has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. *In re Aller*, *105 USPQ 233*.

It would have been obvious at the time the invention was made to a person having ordinary skill in the art to further modify the invention as taught by Lewis in view of Osawa to replace the lack of space thereof with the spacing and ratio as taught by Suda et al., since Suda et al. teaches that it is advantageous to provide a focused state regardless of the outer appearance or pattern of the mask.

Regarding claims 21 and 23, Lewis and Osawa both teach the claimed invention with the exception of wherein the scanning head is configured to enable demounting of either of the first or second masks to allow for interchanging of masks with different

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aperture shapes or sizes and wherein an aperture of first or second mask is the same shape or similar to mark on the web. Suda et al. teaches a mask (103) with aperture that is similar to that as projected on subject (106) and multiple embodiments interchanging the patterns, shapes and sizes of masks (Figures 1 and 9). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to further modify the invention as taught by Lewis to include a similar aperture of a mask as projected on a web and a variety of masks as taught by Suda et al., since Suda et al. teaches an apparatus capable of providing light distribution in a plurality of directions thereby detecting a focused state.

Regarding claim 22, Lewis teaches wherein an aperture of the at least one of the first and second optical masks comprises multiple holes or slits (Figure 2,10A and 10B).

3. Claims 27 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lewis (GB 2,351,558) in view of in view of Osawa (JP 06191019) as applied to claim 24 above, and further in view of Eisen et al. (US Patent 6,717,168).

Lewis and Osawa teaches the claimed invention with the exception of a first light source for providing selective diffuse illumination of a web substrate and a second light source for providing selective direct reflection illumination of the web substrate and wherein the two sources comprise LED's that can act independently of each other.

Eisen et al. teaches two light sources (6,7) with LED's (8) that provide diffuse illumination and reflection illumination (Abstract, Column 1, Lines 58-60, Column 2, Lines 14-19, Lines 24-27, Lines 37-43, Column 4, Lines 42-49 and Column 5, Lines 34-45) of a web substrate (13) and wherein the two light sources can act alternately of

each other (Column 1, Lines 62-64). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to modify the invention as taught by Lewis to include two light sources for diffusion and illumination as taught by Eisen et al., since Eisen et al. uses the two light sources to safely scan markings on the web of different materials.

4. Claims 36, 38 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lewis (GB 2,351,558) in view of in view of Osawa (JP 06191019) as applied to claim 32 above, and further in view of Rabjohns et al. (US Patent 5,439,199).

Lewis and Osawa both teach the claimed invention with the exception of at least one of the first and second light sources emits one of white light and UV light. Rabjohns et al. teaches a light emitter that consists of a white light and UV source (Column 14, Claim 5). It would have been obvious at the time the invention was made to a person having ordinary skill in the art to further modify the invention as taught by Lewis replace the light source thereof with a white and uv light source as taught by Rabjohns et al., since Rabjohns et al. teaches that it is advantageous to provide powerful light source in order to detect the presence of a sheet.

## Allowable Subject Matter

5. Claim 34 objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

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6. Claims 47-63 are allowed.

7. The following is a statement of reasons for the indication of allowable subject matter: Regarding claims 34, 47 and 53, the prior art does not teach or render obvious a selecting means, wherein the selection of the light sources is dependent upon the type of web substrate.

### Response to Arguments

8. Applicant's arguments with respect to claims 16-46 have been considered but are most in view of the new ground(s) of rejection.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Marissa L. Ferguson whose telephone number is (571) 272-2163. The examiner can normally be reached on (M-T) 6:30am-4:00pm and every other (F) 7:30am-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Hirshfeld can be reached on (571) 272-2168. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Marissa L Ferguson-Samreth Examiner Art Unit 2854

MFS April 11, 2006

Daniel J. Colilla
Primary Examiner
Art Unit 2854